

Atty Docket No. 019693-000100US

PTO FAX NO.: 1-703-746-7239

ATTENTION: Examiner: Pierre Eddy Elisca

Group Art Unit 2161

**SUBMISSION OF INFORMATION DISCLOSURE  
STATEMENT****FOR ENTRY INTO APPLICATION NO. 09/475,959  
FILED DECEMBER 30, 1999****CERTIFICATION OF FACSIMILE TRANSMISSION**

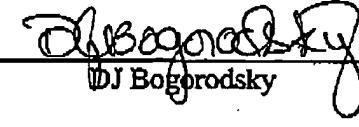
I hereby certify that the following documents in re Application of Steven Karl Schoch et al., Application No. 09/475,959, filed December 30, 1999 for SYSTEM FOR CONTROLLING THE USE OF LICENSED SOFTWARE is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

1. Transmittal form (1 page)
2. Fee Transmittal (1 page, submitted in duplicate)
3. IDS with PTO/SB/08B (3 pages)
4. Copy of the first page of each cited reference (15 pages)

Number of pages being transmitted, including this page: 21

Dated: February 11, 2002

  
DJ Bogorodsky

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
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PA 3200945 v1



Please type a plus sign (+) inside this box → PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

22

Application Number	09/475,959
Filing Date	December 30, 1999
First Named Inventor	Schoch, Steven Karl
Group Art Unit	2161
Examiner Name	Pierre Eddy Elsca

Attorney Docket Number

019693-000100US

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (1 page submitted in duplicate)	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Facsimile coversheet (1 page)
<input checked="" type="checkbox"/> Information Disclosure Statement with PTO/SB/08B (3 pages)	<input type="checkbox"/> Request for Refund	Copies of page 1 of each cited reference (15 pages)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.63		

Remarks  
The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

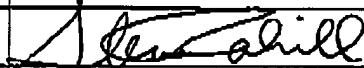
Firm and Individual name

Townsend and Townsend and Crew LLP

Steven Cahill

Reg. No. 44,578

Signature



Date

February 11, 2002

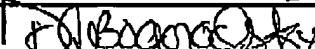
## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 746-7239 on February 11, 2002

Typed or printed name

DJ Bogorodsky

Signature



Date

February 11, 2002

Surden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3200953 v1



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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ 180)

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	20-1430
Deposit Account Name	Townsend and Townsend and Crew LLP
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
108 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

**SUBTOTAL (1)** (\$ 180)

### 2. EXTRA CLAIM FEES

Total Claims	-20-	Extra Claims	Fee from below	Fee Paid
Independent Claims	-3-			
Multiple Dependent				

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 16	203 9	Claims in excess of 20	
102 64	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid ** Release independent claims over original patent	
109 64	209 42	** Release claims in excess of 20 and over original patent	
110 18	210 9		

**SUBTOTAL (2)** (\$ 0)

\* or number previously paid, if greater. For Reissues, see above

**Complete if Known**

Application Number	09/478,859
Filing Date	December 30, 1999
First Named Inventor	Schoch, Steven Karl
Examiner Name	Pierre Eddy Elieca
Group Art Unit	2161
Attorney Docket No.	019693-000100US

## FEE CALCULATION (continued)

3. ADDITIONAL FEES	Fee Description	Fee Paid
108 130	205 55	Surcharge - late filing fee or oath
127 50	227 25	Surcharge - late provisional filing fee or cover sheet
139 130	130 130	Non-English specification
147 2,520	147 2,520	For filing a request for reexamination
112 920*	112 920*	Requesting publication of SIR prior to Examiner action
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action
115 110	215 55	Extension for reply within first month
118 400	216 200	Extension for reply within second month
117 920	217 460	Extension for reply within third month
118 1,440	218 720	Extension for reply within fourth month
129 1,980	228 980	Extension for reply within fifth month
119 320	218 100	Notice of Appeal
120 320	220 160	Filing a brief in support of an appeal
121 260	221 140	Request for oral hearing
138 1,610	128 1,610	Petition to institute a public use proceeding
140 110	240 85	Petition to revive - unavoidable
141 1,280	241 640	Petition to revive - unintentional
142 1,280	242 640	Utility issue fee (or release)
143 480	243 230	Design issue fee
144 820	244 310	Plant issue fee
122 130	122 130	Petitions to the Commissioner
123 50	123 50	Petitions related to provisional applications
126 180	128 160	Submission of Information Disclosure Stmt
581 40	581 40	Recording each patent assignment per property (times number of properties)
148 740	246 370	Filing a submission after final rejection (37 CFR § 1.126(b))
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))
176 740	270 370	Request for Continued Examination (RCE)
169 800	169 800	Request for expedited examination or a design application

## Other fee (specify)

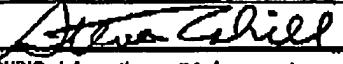
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\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$ 180)

## SUBMITTED BY

Name (Print/Type)	Steven Cahill	Registration No. (Attorney/Agent)	44,578	Telephone	650-328-3400
Signature					
Date	February 11, 2002				

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at Fax No.: 1-703-746-7239

**PATENT**  
Attorney Docket No.: 019693-000100US  
Client Reference No.: 019693

*#6  
CC  
2/15/02*

On February 11, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: TO: Bogradsky

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Steven Karl Schoch et al.

Application No.: 09/475,959

Filed: December 30, 1999

For: **SYSTEM FOR CONTROLLING  
THE USE OF LICENSED SOFTWARE**

Examiner: Pierre Eddy Elisca

Art Unit: 2161

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the first page of each reference are attached. Full copies of each reference will be enclosed under separate cover via first class mail. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Steven Karl Schöch et al.  
Application No.: 09/475,959  
Page 2

PATENT

This IDS is being filed after the mailing date of the first Office Action and more than three months after the filing date, but prior to the Notice of Allowance or Final Office Action.

Please deduct \$180.00, pursuant to 37 CFR §1.17(p), from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
Steven Cahill  
Reg. No. 44,578

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